

**ESTIMATING APPARATUS AND METHOD OF INPUT AND OUTPUT  
ENABLING POWERS FOR SECONDARY CELL**

**BACKGROUND OF THE INVENTION:**

5           **Field of the invention**

[0001]       The present invention relates to a technique to estimate powers which are enabled to be inputted to a secondary cell and which are enabled to be outputted from the same secondary cell.

10           **Description of the related art**

[0002]       A Japanese Patent Application First Publication No. Heisei 9-171063 published on June 30, 1997 exemplifies a previously proposed battery power calculating apparatus. In the previously proposed 15 battery power calculating apparatus described in the above-identified Japanese Patent Application First Publication, an equation ( $V = R \times I + V_o$ ) expressing an I-V straight line characteristic representing a discharge characteristic of the cell is calculated on 20 the basis of a current I and a terminal voltage V supplied from a cell, an internal resistance R of the cell is calculated from its gradient, and an electromotive force  $V_o$  (which corresponds to a terminal voltage during a current interruption and 25 also called an open voltage or open-circuit voltage) of the cell is calculated from an intercept. A minimum guarantee voltage value  $V_{min}$  to guarantee a cell life on the basis of current I and cell temperature T is calculated and is substituted into 30 the equation of I-V straight line to determine a maximum current value  $I_{max}$ . The output enabling power value P is calculated from an equation of  $P = V_{min} \times I_{max}$ .

**SUMMARY OF THE INVENTION:**

[0003] However, each of internal resistance R and open-circuit voltage V has a feature (characteristic) that each thereof R and V varies instantaneously (or continuously with respect to time) during charge-and-discharge operations in accordance with current I. In the above-described previously proposed power calculating apparatus disclosed in the above-identified Japanese Patent Application First Publication, current I and terminal voltage V are measured between two points during the charge operation in accordance with current I to calculate the I-V straight line. There is an assumption that internal resistance R and open-circuit voltage Vo determined from I-V straight line is not varied between two points. However, actually, since internal resistance R and open-circuit voltage Vo are instantaneously (or continuously) varied with respect to time, in the case of the calculation method disclosed in the above-described Japanese Patent Application First Publication, an estimation accuracy of output enabling power value P becomes lowered.

[0005] It is, therefore, an object of the present invention to provide estimating apparatus and method for the secondary cell which are capable of estimating the input and output enabling powers for the secondary cell with a high accuracy and which are well (sufficiently) correspondent to an actual characteristic of the secondary cell. It is noted that the output enabling power is defined as a power which can be outputted from the secondary cell and the input enabling power is defined as the power which can be inputted into the secondary cell.

[0007] According to one aspect of the present invention, there is provided an estimating apparatus for a secondary cell, comprising: a current detecting section that detects a current ( $I$ ) charged into and discharged from the secondary cell; a voltage detecting section that detects a terminal voltage ( $V$ ) across the secondary cell; a parameter estimating section that integrally estimates all parameters ( $\theta$ ) at one time in at least one of the following equations (1) and (2) with the measured current ( $I$ ) and terminal voltage ( $V$ ) inputted into an adaptive digital filter using a cell model described in a corresponding one of the following equations (1) and (2) whose parameters are estimated; an open-circuit 15 voltage calculating section that calculates an open-circuit voltage ( $V_o$ ) using the current ( $I$ ), the terminal voltage ( $V$ ), and the parameter estimated values ( $\theta$ ); an input enabling power estimating section that estimates an input enabling power ( $P_{in}$ ) of the secondary cell on the basis of the parameter estimated values ( $\theta$ ) and open-circuit voltage ( $V_o$ ); and an output enabling power estimating section that estimates an output enabling power ( $P_{out}$ ) of the secondary cell on the basis of the parameter 20 estimated values and the open-circuit voltage ( $V_o$ ),

the equation (1) being  $V = \frac{B(s)}{A(s)} \cdot I + \frac{1}{C(s)} \cdot V_o \dots (1)$ ,

wherein  $A(s) = \sum_{k=0}^n a_k \cdot s^k$ ,  $B(s) = \sum_{k=0}^n b_k \cdot s^k$ ,  $C(s) = \sum_{k=0}^n c_k \cdot s^k$ .

$s$  denotes a Laplace transform operator,  $A(s)$ ,  $B(s)$ , and  $C(s)$  denote each poly-nominal of  $s$  ( $n$  denotes

degrees),  $a_1 \neq 0$ ,  $b_1 \neq 0$ , and  $c_1 \neq 0$  and the equation

(2) being  $V = \frac{B(s)}{A(s)} \cdot I + \frac{1}{A(s)} \cdot V_o \dots (2)$ , wherein

$$A(s) = \sum_{k=0}^n a_k \cdot s^k \text{ and } B(s) = \sum_{k=0}^n b_k \cdot s^k.$$

[0008] According to another aspect of the present  
5 invention, there is provided an estimating method for  
a secondary cell, comprising: detecting a current ( $I$ )  
charged into and discharged from the secondary cell;  
detecting a terminal voltage ( $V$ ) across the secondary  
cell; integrally estimating all parameters ( $\theta$ ) at one  
10 time in at least one of the following equations (1)  
and (2) with the measured current ( $I$ ) and terminal  
voltage ( $V$ ) inputted into an adaptive digital filter  
using a cell model described in a corresponding one  
of the following equations (1) and (2) whose  
15 parameters are estimated; calculating an open-circuit  
voltage ( $V_o$ ) using the current ( $I$ ), the terminal  
voltage ( $V$ ), and the parameter estimated values ( $\theta$ );  
estimating an input enabling power ( $P_{in}$ ) of the  
secondary cell on the basis of the parameter  
estimated values ( $\theta$ ) and open-circuit voltage ( $V_o$ );  
20 and estimating an output enabling power ( $P_{out}$ ) of the  
secondary cell on the basis of the parameter  
estimated values and the open-circuit voltage ( $V_o$ ),

the equation (1) being  $V = \frac{B(s)}{A(s)} \cdot I + \frac{1}{C(s)} \cdot V_o \dots (1)$ ,

25 wherein  $A(s) = \sum_{k=0}^n a_k \cdot s^k$ ,  $B(s) = \sum_{k=0}^n b_k \cdot s^k$ ,  $C(s) = \sum_{k=0}^n c_k \cdot s^k$ ,

$s$  denotes a Laplace transform operator,  $A(s)$ ,  $B(s)$ ,  
and  $C(s)$  denote each poly-nominal of  $s$  ( $n$  denotes

degrees),  $a_1 \neq 0$ ,  $b_1 \neq 0$ , and  $c_1 \neq 0$  and the equation

(2) being  $V = \frac{B(s)}{A(s)} \cdot I + \frac{1}{A(s)} \cdot V_0 \dots (2)$ , wherein

$$A(s) = \sum_{k=0}^n a_k \cdot s^k \text{ and } B(s) = \sum_{k=0}^n b_k \cdot s^k.$$

[0009] This summary of the invention does not necessarily describe all necessary features so that the invention may also be a sub-combination of these described features.

**BRIEF DESCRIPTION OF THE DRAWINGS:**

[0010] Fig. 1 is a functional block diagram of input and output enabling power estimating apparatus for a secondary cell according to the present invention applicable to each of first and second preferred embodiments.

[0011] Fig. 2 is a specific circuit block diagram of a battery controller and a secondary cell load drive system to which the input and output enabling power estimating apparatus according to the present invention is applicable.

[0012] Fig. 3 is a map representing a relationship between an open-circuit voltage and a charge rate (SOC).

[0013] Fig. 4 is a model view representing an equivalent circuit model of the secondary cell in the input and output enabling power estimating apparatus of the first preferred embodiment.

[0014] Fig. 5 is a model view representing an equivalent circuit model of the secondary cell in the input and output enabling power estimating apparatus of the second preferred embodiment.

[0015] Fig. 6 is a processing flowchart representing a calculation process in the case of the

first preferred embodiment of the input and output enabling power estimating apparatus according to the present invention.

[0016] Fig. 7 is a processing flowchart  
5 representing a calculation process in the case of the second preferred embodiment of the input and output enabling power estimating apparatus according to the present invention.

[0017] Figs. 8A, 8B, 8C, 8D, 8E, 8F, 8G, 8H, 8I  
10 show integrally a timing chart representing a result of a simulation based on the first embodiment of the input and output enabling power estimating apparatus according to the present invention.

[0018] Figs. 9A, 9B, 9C, 9D, 9E, 9F, 9G, 9H, 9I, 9J  
15 show integrally a timing chart representing a result of a simulation based on the second embodiment of the input and output enabling power estimating apparatus according to the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS:**

[0019] Reference will hereinafter be made to the drawings in order to facilitate a better understanding of the present invention.

[0020] Fig. 1 shows a functional block diagram of input and output enabling power estimating apparatus according to the present invention for explaining a general concept of each of first and second preferred embodiments which will be described later. In Fig. 1, a reference numeral 1 denotes a parameter  $\theta(k)$  estimating section that integrally estimates each 25 parameter (the detailed description thereof will herein be omitted) in a cell model in which an open-circuit voltage  $V_o(k)$  is an offset term using measured voltage  $V$  and current  $I$  detected by current

I(k) detecting section 5 and terminal voltage V(k) detecting section 6. A reference numeral 2 denotes an open-circuit voltage calculating section Vo(k). The open-circuit voltage Vo(k) is calculated on the basis 5 of the measured voltage V and current I and each estimated parameter. A reference numeral 3 denotes an input enabling power estimating section which estimates a power which can be inputted to the secondary cell on the basis of parameter θ(k) and 10 open-circuit voltage Vo(k). A reference numeral 4 denotes an output enabling power estimating section that estimates the power which can be outputted from the secondary cell on the basis of parameter θ(k) and open-circuit voltage Vo(k). A reference numeral 5 15 denotes a current I(k) detecting section that detects the current charged to or discharged from the secondary cell. A reference numeral 6 denotes a terminal voltage V(k) detecting section that detects a terminal voltage of the cell.

20 [0021] Fig. 2 shows a block diagram representing a specific structure of a battery controller and a secondary cell load driving system to which the input and output enabling power estimating apparatus according to the present invention is applicable. In 25 this system, the input and output enabling power estimating apparatus is mounted in a system in which a load such as a motor is driven and a regenerative power of the motor is used to charge the secondary cell. In Fig. 2, a reference numeral 10 denotes a 30 secondary cell (or merely, a cell), a reference numeral 20 denotes a load of the motor or so on, and a reference numeral 30 denotes a battery controller (an electronic control unit) which functions to

estimate the input and output enabling powers of cell 10. Battery controller 30 includes a microcomputer including a CPU (Central Processing Unit) that calculates a program, a ROM (Read Only Memory) that stores the program, a RAM (Random Access Memory) storing a result of calculations, and electronic circuits. A reference numeral 40 denotes a current meter that measures (detects) a current which is charged into and discharged from secondary cell 10. A reference numeral 50 denotes a voltage meter to detect a terminal voltage across secondary cell 10. These meters are connected to battery controller 30. The above-described battery controller 30 corresponds to parameter  $\theta(k)$  estimating section 1 of Fig. 1, open-circuit voltage  $V_o(k)$  calculating section 2, input enabling power estimating section 3, and output enabling power estimating section 4. In addition, current meter 40 corresponds to current  $I(k)$  detecting section 5 and voltage meter 50 corresponds to a terminal voltage  $V(k)$  detecting section 6, respectively. It is noted that a reference numeral 60 shown in Fig. 2 denotes a temperature sensor to detect a cell temperature and a reference numeral 70 shown in Fig. 2 denotes a relay circuit (or simply a relay).

[0022] (First Embodiment)

Next, a, so-called, cell model used in the first embodiment will be described below. Fig. 4 shows an equivalent circuit model of the secondary cell in the first embodiment. This equivalent circuit model corresponds to a case where denominators of right side first term and right side second term are the same as shown in equation (2). This equivalent

circuit model is a reduction model (first order or  
fist degree) in which positive pole and negative pole  
are not especially separated) but enabled to  
represent a relatively accurate charge-and-discharge  
5 characteristic of the actual cell. In Fig. 4, a  
model input is current I [A] (Amperes) (positive  
value is charge and negative value is discharge) and  
model output is a terminal voltage V[V].  $V_0$ [V]  
denotes an open-circuit voltage (or called, an  
10 electromotive force or open voltage). K denotes an  
internal resistance,  $T_1$  and  $T_2$  denote time constants.  
The cell model can be expressed in the following  
equation (3). It is noted that s denotes a Laplace  
transform operator.

15 
$$V = \frac{B(s)}{A(s)} \cdot I + \frac{1}{A(s)} \cdot V_0 \quad \dots (2), \text{ wherein}$$

$$A(s) = \sum_{k=0}^n a_k \cdot s^k, \quad B(s) = \sum_{k=0}^n b_k \cdot s^k$$

It is noted that  $A(s)$  and  $B(s)$  denote polynomials of  
s, n denotes a degree (order number), and  $a_1 \neq 0$   
and  $b_1 \neq 0$ .

20 
$$V = \frac{K \cdot (T_2 \cdot s + 1)}{T_1 \cdot s + 1} \cdot I + \frac{1}{T_1 \cdot s + 1} \cdot V_0 \quad \dots (3).$$

It is noted that equation (3) is a variation of  
equation (2) in which  $T_1 \cdot s + 1$  is substituted for  
and  $A(s)$  ( $A(s) = T_1 \cdot s + 1$ ) and  $K \cdot (T_2 \cdot s + 1)$  is  
substituted for  $B(s)$  ( $B(s) = K \cdot (T_2 \cdot s + 1)$ ). In the  
25 case of such a lithium ion battery that a  
convergence of the open-circuit voltage is relatively  
fast, the denominators of right side first term and  
right side second term can be represented by the same  
time constant  $T_1$  as appreciated from equation (3).

[0023] Hereinafter, a procedure of a derivation from the cell model in equation (3) to an adaptive digital filter will first be explained. The open-circuit voltage  $V_o$  can be written in the following 5 equation (4) assuming that a value of current  $I$  multiplied by a variable efficiency  $h$  is considered to be an integration value from a certain initial state.

$$V_o = \frac{h}{s} \cdot I \quad \dots (4).$$

10 If equation (4) is substituted into equation (3), the following equation (5) is given. If equation (5) is rearranged, the following equation (6) is given. If a stable low pass filter  $G_{lp}(s)$  is multiplied to both sides of equation (6) and rearranged, then, the 15 following equation (7) is given.

$$V = \frac{K \cdot (T_2 \cdot s + 1)}{T_1 \cdot s + 1} \cdot I + \frac{1}{T_1 \cdot s + 1} \cdot \frac{h}{s} \cdot I \quad \dots \quad (5).$$

$$V = \frac{K \cdot T_2 \cdot s^2 + K \cdot s + h}{T_1 \cdot s + 1} \cdot \frac{h}{s} \cdot I \quad \dots \quad (6).$$

$$G_{lp}(s) \cdot (T_1 \cdot s^2 + s) \cdot V = G_{lp}(s) \cdot (K \cdot T_2 \cdot s^2 + K \cdot s + h) \cdot I \\ 20 \dots (7).$$

A value of an actually measurable current  $I$  and terminal voltage  $V$  for which a low pas filter and a band pass filter are processed is defined as in the following equation (8). A time constant  $p$  of equation 25 (8) is a constant determining a response characteristic of  $G_{lp}(s)$ .

[0024]

$$\begin{aligned} V_3 &= s^2 \cdot G_{lp}(s) \cdot V & V_2 &= s \cdot G_{lp}(s) \cdot V & V_1 &= G_{lp}(s) \cdot V & G_{lp} &= \frac{1}{(p \cdot s + 1)^3} \\ I_3 &= s^2 \cdot G_{lp}(s) \cdot I & I_2 &= s \cdot G_{lp}(s) \cdot I & I_1 &= G_{lp}(s) \cdot I \end{aligned} \quad ]$$

... (8).

[0025] If, using equation (8), equation (7) is rewritten, then, the following equation (9) is given. Furthermore, if equation (9) is deformed, an equation 5 (10) is given.

$$T_1 \cdot V_3 + V_2 = K \cdot T_2 \cdot I_3 + K \cdot I_2 + h \cdot I_1 \quad \dots (9).$$

$$V_2 = -T_1 \cdot V_3 + K \cdot T_2 \cdot I_3 + K \cdot I_2 + d \cdot I_1 = [V_3 \quad I_3 \quad I_2 \quad I_1] \cdot \begin{bmatrix} -T_1 \\ K \cdot T_2 \\ K \\ h \end{bmatrix}$$

... (10).

Equation (10) indicates a product-and-sum equation 10 between measurable value and unknown parameters. Hence, equation (10) is coincident with a standard form (equation (11)) of a general adaptive digital filter. It is noted that, in equation (11),  $y = V_2$ ,  $\omega^T = [V_3 \quad I_3 \quad I_2 \quad I_1]$ ,  $\theta^T = [-T_1 \quad K \cdot T_2 \quad K \quad h]$ .  
15  $y = \omega^T \cdot \theta \quad \dots (11)$ .

[0026] Hence, if current I and terminal voltage V to both of which a filter is processed are used for the adaptive digital filter calculation, unknown parameter vector  $\theta$  can be estimated. In this 20 embodiment, a, so-called, both eyes trace gain method which has improved a logical defect of the adaptive filter (namely, once an estimated value is converged, an accurate estimation, thereafter, cannot be made any more even if the parameter is varied) is used.

[0027] Upon an assumption of equation (11), a 25 parameter estimation algorithm to estimate an unknown parameter vector  $\theta$  is described in an equation (12). It is noted that parameter estimated values at a time point of k is assumed to be  $\theta(k)$ .

$$\gamma(k) = \frac{\lambda_3}{1 + \lambda_3 \cdot \omega^T(k) \cdot P(k-1) \cdot \omega(k)}$$

$$\theta(k) = \theta(k-1) - \gamma(k) \cdot P(k-1) \cdot \omega(k) \cdot [\omega^T(k) \cdot \theta(k-1) - y(k)]$$

$$P(k) = \frac{1}{\lambda_1(k)} \left\{ P(k-1) - \frac{\lambda_3 \cdot P(k-1) \cdot \omega(k) \cdot \omega^T(k) \cdot P(k-1)}{1 + \lambda_3 \cdot \omega^T(k) \cdot P(k-1) \cdot \omega(k)} \right\} = \frac{P'}{\lambda_1(k)}$$

$$\lambda_1(k) = \begin{cases} \frac{\text{trace}\{P'(k)\}}{\gamma_U}: \quad \lambda_1 \leq \frac{\text{trace}\{P'(k)\}}{\gamma_U} \\ \lambda_1: \quad \frac{\text{trace}\{P'(k)\}}{\gamma_U} \leq \lambda_1 \leq \frac{\text{trace}\{P'(k)\}}{\gamma_L} \\ \frac{\text{trace}\{P'(k)\}}{\gamma_L}: \quad \frac{\text{trace}\{P'(k)\}}{\gamma_L} \leq \lambda_1 \end{cases}$$

... (12).

In equation (12),  $\lambda_1$ ,  $\lambda_3$ ,  $\gamma_U$ ,  $\gamma_L$  denotes initial set values,  $0 < \lambda_1 < 1$ ,  $0 < \lambda_3 < \infty$ . In addition,  $P(0)$  is 5 a sufficiently large initial value and  $\text{trace}\{P\}$  means a trace of a matrix  $P$ . In this way, the derivation of the adaptive digital filter from the cell model has been explained.

[0028] Fig. 6 shows an operational flowchart 10 executing a microcomputer of battery controller 30. The routine shown in Fig. 6 is repeated for each constant period of time  $T_0$ . For example,  $I(k)$  is the present value and  $I(k-1)$  is the value one time before the present time of  $k$ .

At a step S10A, battery controller 30 measures current  $I(k)$  and terminal voltage  $V(k)$ . At a step S20A, battery controller 30 determines whether an interruption relay of secondary cell 10 is in an engaged state (closed) or in an interrupted state (open). It is noted that battery controller 30 also controls the interruption relay. If the relay is interrupted (current  $I = 0$ ), the routine goes to a step S30A. If the relay is engaged, the routine goes to a step S40A. At step S30A, battery controller 30 determines that terminal voltage  $V(k)$  is stored as terminal voltage initial value  $V_{ini}$ . At step S40A, battery controller 30 a difference value of the terminal voltage  $\Delta V(k)$ :  $\Delta V(k) = V(k) - V_{ini}$

Since this the initial value of the estimation parameter within the adaptive digital filter is set to about zero, the inputs are all zeroed to prevent each estimated parameter during a start of an estimation calculation from being diverged. Whenever the relay is interrupted, the routine goes to step S30A. Hence, since  $I = 0$  and  $\Delta V(k) = 0$ , the estimated parameters still remains in the initial state. At a step S50A, battery controller 30 performs a low pass filter (LPF) and a band pass filter (BPF) processing based on equation (13) for current  $I(k)$  and terminal voltage difference value  $\Delta V(k)$  to calculate  $I_1$  through  $I_3$  and  $V_1$  through  $V_3$ . At this time, in order to improve an estimation accuracy of the parameter estimation algorithm of equation (12), a response characteristic of low pass filter  $G_{lp}(s)$  is set to be slow so as to reduce observed noises.

[0029] It is noted that this response characteristic is made faster than the response characteristic of the cell. Time constant p in equation (13) is a constant to determine the response 5 characteristic of  $G_{lp}(s)$  during the equation (13).

$$\left. \begin{aligned} G_{lp}(s) &= \frac{1}{(p \cdot s + 1)^3} \\ V_3 &= s^2 \cdot G_{lp}(s) \cdot V, \quad V_2 = s \cdot G_{lp}(s) \cdot V, \quad V_1 = G_{lp}(s) \cdot V \\ I_3 &= s^2 \cdot G_{lp}(s) \cdot I, \quad I_2 = s \cdot G_{lp}(s) \cdot I, \quad I_1 = G_{lp}(s) \cdot I \end{aligned} \right]$$

... (13).

[0030] At a step S60A, controller 30 substitutes I<sub>1</sub> through I<sub>3</sub> and V<sub>1</sub> through V<sub>3</sub> calculated at step 10 S50A into equation (12) to calculate parameter estimated value θ(k). It is noted that y = V<sub>2</sub>,  $\omega^T$  = [V<sub>3</sub> I<sub>3</sub> I<sub>2</sub> I<sub>1</sub>], and  $\theta^T = [-T_1 K \cdot T_2 K h]$ . At a step S70A, back-up controller 30 substitutes T<sub>1</sub>, K • T<sub>2</sub>, and K from among parameter estimated value 15 θ(k) calculated at step S60A into the following equation (14) from among parameter estimated values θ(k) calculated at step S60A into equation (14), I<sub>1</sub>, and I<sub>2</sub>, and V<sub>1</sub>, and V<sub>2</sub> calculated at equation (13).

$$\begin{aligned} V_0 &= (T_1 \cdot s + 1) \cdot V - K \cdot (T_2 \cdot s + 1) \cdot I \\ \Delta V_0 &= G_{lp}(s) \\ &= G_{lp}(s) \cdot \{(T_1 \cdot s + 1) \cdot V - K \cdot (T_2 \cdot s + 1) \cdot I\} \\ &= V_1 + T_1 \cdot V_2 - K \cdot T_2 \cdot I_2 - K \cdot I_1 \end{aligned}$$

20 ... (14).

Equation (14) is a deformation for the cell model (equation (3)) and low pass filter  $G_{lp}(s)$  is multiplied to both sides. Then, voltage component of ΔVo is replaced with open-circuit voltage Vo (Vo is 25 substituted for ΔVo). Since the variation of open-

circuit voltage  $V_o$  is moderate,  $V_o$  can be replaced as follows:  $\Delta V_o = G_{lp}(s) \cdot V_o$ .

Since variation rate  $\Delta V_o(k)$  of the open-circuit voltage estimated value from a time at which the 5 start of the estimated calculation is carried out, the initial value at the later stage of a step S80A.

[0031] At step S80A, the open-circuit voltage initial value  $V_{ini}$  is added to  $\Delta V_c(k)$  calculated at step 10 S70A to calculate open-circuit voltage estimated value  $V_o(k)$  using the following equation (15).

$$V_o(k) = \Delta V_o(k) + V_{ini} \quad \dots (15).$$

At a step S90A, battery controller 30 calculates a charge rate SOC( $k$ ) from  $V_o(k)$  calculated at step S80A 15 using a correlative map of the open-circuit voltage shown in Fig. 3 and charge rate. It is noted that VL shown in Fig. 3 is an open-circuit voltage corresponding to SOC = 100 % and VH shown in Fig. 3 is an open-circuit voltage corresponding to SOC = 20 100 %.

[0032] At a step S100A, battery controller 30 calculates an input enabling power estimated value  $P_{in}$  and an output enabling power estimated value  $P_{out}$ . Hereinafter, the detailed description of the 25 calculation method of the input enabling power estimated value will be described below.

In the cell model (equation (3)), in a case where a transient characteristic is ignored, equation 30 (16) is resulted. This means that this means a quantitative cell model.

$$V = K \cdot I + V_o \quad \dots (16).$$

[0033] Suppose that the terminal voltage of the cell immediately before a predefined excessive (or

over) charge is resulted is a maximum enabling voltage  $V_{max}$  and the terminal voltage of the cell immediately before the predefined excessive (or over) discharge is resulted in a minimum enabling voltage 5  $V_{min}$ . Then, in order to calculate the input enabling power estimated value  $P_{in}$ , it is necessary to require the current value by which the terminal voltage has reached to maximum enabling voltage  $V_{max}$ . Hence, using equation (16) in which the transient 10 characteristic is ignored and equation (16) is used to calculate maximum input current  $I_{in\_max}$  using equation (16).

[0034] In equation (16), maximum enabling voltage  $V_{max}$  is substituted into  $V$ , estimated value  $K$  from 15 among the parameter estimated values  $\theta(k)$  calculated at step S60A is substituted into  $K$  and circuit voltage estimated value  $Vo(k)$  calculated at step S80A is substituted into  $Vo$ , respectively, to calculate a maximum input current  $I_{in\_max}$ .

[0035] In the same way as the case of output 20 enabling power estimated value  $P_{out}$ , minimum enabling voltage  $V_{min}$  is substituted into  $V$  in equation (16), estimated value  $K$  from among the parameter estimated value  $\theta(k)$  calculated at step S60A is substituted 25 into  $K$ , and circuit voltage estimated value  $Vo(k)$  calculated at step S80A is substituted into  $Vo$ , respectively, to calculate maximum input current  $I_{in\_max}$ . Then, input enabling power estimated value  $P_{in}$  and output enabling power estimated value  $P_{out}$  are 30 calculated from equation (17).

$$\left. \begin{aligned} P_{in} &= I_{in\_max} \cdot V_{max} \\ &= \frac{V_{max} - V_o}{K} \cdot V_{max} \\ P_{out} &= |I_{out\_max}| \cdot V_{min} \\ &= \frac{V_o - V_{min}}{K} \cdot V_{min} \end{aligned} \right]$$

... (17).

Maximum enabling voltage  $V_{max}$  is a terminal voltage in a case where the cell is charged to a voltage  
5 immediately before the cell is the excessive charge.  
Minimum enabling voltage  $V_{min}$  is a terminal voltage in a case where the cell is discharged to a value immediately before the cell is the excessive charge.  
These maximum enabling voltage  $V_{max}$  and minimum  
10 enabling voltage  $V_{min}$  are variables determined by the kind of cells and the cell temperature. For example, a relationship between the cell temperature and  $V_{max}$  determined according to, for example, the experiments and a relationship between the cell temperature and  
15  $V_{min}$  can be stored as maps and a map reference can be used to calculate  $V_{max}$  and  $V_{min}$ . At a step S110A, numerical values required for the subsequent calculation are stored and the present calculation is ended. An operation of the first embodiment has been  
20 described above.

[0036] Hereinafter, an action and advantages of the estimating apparatus for the secondary cell in the first embodiment will be described below.

[0037] In the first embodiment, since the  
25 relationship between current  $I$  of the secondary cell, terminal voltage  $V$ , and the open-circuit voltage  $V_o$  approximates the transfer function such as in equation (2). Specifically, equation (3), it becomes possible to apply the adaptive digital filter (well

known estimating algorithm) such as the method of the least square. Consequently, it becomes possible to integrally estimate the parameters in equations (coefficients of poly-nominals ( $A(s)$  and  $B(s)$ )). When 5 the estimated parameters are substituted into equation (2), the estimated value of open-circuit voltage  $V_o$  can easily be calculated.

[0038] These unknown parameters are affected by the charge rate (SOC), the cell temperature, and a 10 degree of deterioration. Although these parameters are known to be instantaneously varied with respect to time, the adaptive digital filter can sequentially be estimated with a high accuracy. Since input enabling power  $P_{in}$  and output enabling power  $P_{out}$  are 15 estimated using the estimated coefficient parameters and the open-circuit voltages  $V_o$ , the input and output enabling power  $P_{in}$  and  $P_{out}$  can be estimated, even if the input and output enabling powers are varied during the charge or discharge operation, its 20 variation can accurately follow to estimate the input and output enabling powers.

[0039] As compared with the second preferred embodiment as will be described later, since an easier cell model (equations(2) and (3)) is used, a 25 formalization (or an equalization) of the adaptive digital filter becomes easy and the numbers of times the calculations are carried out can be reduced.

[0040] Figs. 8A through 8I show integrally results of simulations of the input and output enabling power 30 estimations based on the first embodiment.

[0041] In Figs. 8A through 8I, with a time of 400 seconds as a boundary, the cell parameters are changed in a stepwise manner from a high temperature

corresponding value to a low temperature corresponding value. It is noted that, in this example of Figs. 8A through 8I, such as a lithium ion battery, the cell having a fast convergence of the  
5 open-circuit voltage is presumed. As appreciated from Figs. 8A through 8I, time constants  $T_1$ ,  $T_2$ , and internal resistance  $K$  are considerably coincident with real values even if the cell parameter given when the simulations are carried out are varied in a  
10 stepwise manner. Hence, the open-circuit voltage estimated values are similarly coincident with the true values. In the first embodiment, using the estimated coefficient parameter, the open voltage  $V_o$ , and maximum enabling voltage  $V_{min}$ , input enabling  
15 power  $P_{in}$  is estimated. Hence, even if cell parameters and open-circuit voltage  $V_o$  are instantaneously varied with respect to time during the charge or discharge operation, the output enabling power estimated value is accurately  
20 coincident with the true value (real value).

[0042] (Second Embodiment)

Next, an operation of the second preferred embodiment will be described. First, the cell model used in the second embodiment will be explained below.  
25 Fig. 5 shows an equivalent circuit model of the secondary cell in the second embodiment.

[0043] Before explaining the equivalent circuit model shown in Fig. 1, equation (1) is described herein.

30 
$$V = \frac{B(s)}{A(s)} \cdot I + \frac{1}{C(s)} \cdot V_o \dots (1),$$

wherein  $A(s)$ ,  $B(s)$ , and  $C(s)$  denote a poly-nominal of  $s$  ( $n$  denotes an order number) and  $a_1 \neq 0$ ,  $b_1 \neq 0$ , and  $c_1 \neq 0$ .

The equivalent circuit model corresponds to a case  
5 where the denominators of the first term and the  
second term are different as described in equation  
(1). This equivalent circuit model is a reduction  
model (first degree or first order) in which a  
positive pole and a negative pole are not specially  
10 separated from each other but can relatively  
accurately indicate the charge-and-discharge  
characteristics of the actual cell. In Fig. 5, a  
model input is current  $I$  [A] (positive value  
indicates the charge and negative value indicates the  
15 discharge) and a model output indicates terminal  
voltage  $V$  [V] and  $V_o$ [V] indicates open-circuit  
voltage (referred also to as an electromotive force  
or open (circuit) voltage). A symbol  $K$  denotes the  
internal resistance.  $T_1$  through  $T_3$  denotes time  
20 constants. This cell model can be expressed as in the  
following equation (18). It is noted that  $s$  denotes  
a Laplace transform operator. As a lead-acid battery  
cell ( or lead storage battery), the convergence of  
the open-circuit voltage is very slow cell, the  
25 relationship between  $T_1 \ll T_3$  is present.

$$V = \frac{K \cdot (T_2 \cdot s + 1)}{T_1 \cdot s + 1} \cdot I + \frac{1}{T_3 \cdot s + 1} \cdot V_o \quad \dots (18).$$

Equation (18) is a replacement of equation (1) with  
 $A(s) = T_1 \cdot s + 1$ ,  $B(s) = K \cdot (T_2 \cdot s + 1)$ . First, the  
derivation of the cell model shown in equation (18)  
30 to the adaptive digital filter will be explained  
below.

The open-circuit voltage  $V_o$  can be written with the value of current  $I$  multiplied by a variable efficiency  $h$  considered as an integration value from a certain initial state.

5  $V_0 = \frac{h}{s} \cdot I$  (19).

If equation (19) is substituted into equation (18), the following equation (20) is given. If arranged, the following equation (21) is given.

$$V = \frac{K \cdot (T_2 \cdot s + 1)}{T_1 \cdot s + 1} \cdot I + \frac{1}{T_3 \cdot s + 1} \cdot \frac{h}{s} \cdot I \dots (20).$$

10  $s \cdot (T_1 \cdot s + 1)(T_3 \cdot s + 1) \cdot V = K \cdot (T_2 \cdot s + 1)(T_3 \cdot s + 1) \cdot s \cdot I + h \cdot (T_1 \cdot s + 1) \cdot I$   
 $\left\{ T_1 \cdot T_3 \cdot s^3 + (T_1 + T_3) \cdot s^2 + s \right\} \cdot V =$   
 $\left\{ K \cdot T_2 \cdot T_3 \cdot s^3 + K \cdot (T_2 + T_3) \cdot s^2 + (K + h \cdot T_1) \cdot s + (K + h \cdot T_1) \cdot s + h \right\} \cdot I$   
 $(a \cdot s^3 + b \cdot s^2 + s) \cdot V = (c \cdot s^3 + d \cdot s^2 + e \cdot s + f) \cdot I \dots (21).$

It is noted that the parameters shown in equation 15 (21) is rewritten as follows:

$$a = T_1 \cdot T_3, \quad b = T_1 + T_3, \quad c = K \cdot T_2 \cdot T_3$$

$$d = K \cdot (T_2 + T_3), \quad e = K + h \cdot T_1, \quad f = h \dots (22).$$

If a stable low pass filter  $G_1(s)$  is introduced into both sides of equation (21) and arranged, the 20 following equation (23) is given.

$$\frac{1}{G_1(s)}(a \cdot s^3 + b \cdot s^2 + s) \cdot V = \frac{1}{G(s)}(c \cdot s^3 + d \cdot s^2 + e \cdot s + f) \cdot I \dots (23).$$

A value of each of actually measurable current  $I$  and terminal voltage  $V$  for which low pass filter is processed and band pass filter is processed is defined as shown in an equation (24). In equation 25 (24),  $p_1$  denotes a time constant determining the response characteristic of  $G_1(s)$ .

[0044]

$$\begin{aligned}
 I_0 &= \frac{1}{G_1(s)} \cdot I \\
 I_1 &= \frac{s}{G_1(s)} \cdot I \quad V_1 = \frac{s}{G_1(s)} \cdot V \\
 &\qquad\qquad\qquad \frac{1}{G_1(s)} = \frac{1}{(p_1 \cdot s + 1)^3} \\
 I_2 &= \frac{s^2}{G_1(s)} \cdot I \quad V_2 = \frac{s^2}{G_1(s)} \cdot V \\
 I_3 &= \frac{s^3}{G_1(s)} \cdot I \quad V_3 = \frac{s^3}{G_1(s)} \cdot V
 \end{aligned}$$

... (24).

If equation (23) is rewritten using variables shown in equation (24), an equation (26) is resulted. If 5 deformed, the following equation (26) is given.

$$a \cdot V_3 + b \cdot V_2 + V_1 = c \cdot I_3 + d \cdot I_2 + e \cdot I_1 + f \cdot I_0; \text{ and}$$

$$V_1 = -a \cdot V_3 - b \cdot V_2 + c \cdot I_3 + d \cdot I_2 + e \cdot I_1 + f \cdot I_0 \quad \dots (25).$$

$$V_1 = [V_3 \quad V_2 \quad I_3 \quad I_2 \quad I_1 \quad I_0] \begin{bmatrix} -a \\ -b \\ c \\ d \\ e \\ f \end{bmatrix} \quad \dots (26).$$

Since equation (26) indicates a product-and-sum 10 equation between measurable values and unknown parameters, equation (26) is coincident with a standard form (equation (27)) of a general adaptive digital filter. It is noted that  $\omega^T$  means a transposed vector in which a row and a column of a 15 vector  $\omega$  are replaced with each other.

$$y = \omega^T \cdot \theta \quad \dots (27).$$

It is noted that  $y = V_1$ .

$$\omega^T = [V_3 \quad V_2 \quad I_3 \quad I_2 \quad I_1 \quad I_0], \quad \theta = \begin{bmatrix} -a \\ -b \\ c \\ d \\ e \\ f \end{bmatrix}$$

Hence, using the adaptive digital filter calculation  
is used for the filter processed signals by which  
current I and terminal voltage V are filter processed  
5 so that the unknown parameter vector  $\theta$  can be  
estimated. In this embodiment, the simple, so-called,  
both eyes trace gain method is used which improves  
the logical defect (the accurate estimation cannot  
again be made once the estimated value is converged)  
10 of the adaptive filter by means of the least square  
method is used. On the premise of equation (27), a  
parameter estimation algorithm to estimate unknown  
parameter vector  $\theta$  is given as in the following  
equation (28). It is noted that parameter estimated  
15 value at a time point of k is assumed to be  $\theta(k)$ .

$$\gamma(k) = \frac{\lambda_3}{1 + \lambda_3 \cdot \omega^T(k) \cdot P(k-1) \cdot \omega(k)}$$

$$\theta(k) = \theta(k-1) - \gamma(k) \cdot P(k-1) \cdot \omega(k) \cdot [\omega^T(k) \cdot \theta(k-1) - y(k)]$$

$$P(k) = \frac{1}{\lambda_1(k)} \left\{ P(k-1) - \frac{\lambda_3 \cdot P(k-1) \cdot \omega(k) \cdot \omega^T(k) \cdot P(k-1)}{1 + \lambda_3 \cdot \omega^T(k) \cdot P(k-1) \cdot \omega(k)} \right\} = \frac{P}{\lambda_1(k)}$$

$$\lambda_1(k) = \begin{cases} \frac{\text{trace}\{P'(k)\}}{\gamma_U}: \quad \lambda_1 \leq \frac{\text{trace}\{P'(k)\}}{\gamma_U} \\ \lambda_1: \quad \frac{\text{trace}\{P'(k)\}}{\gamma_U} \leq \lambda_1 \leq \frac{\text{trace}\{P'(k)\}}{\gamma_L} \\ \frac{\text{trace}\{P'(k)\}}{\gamma_L}: \quad \frac{\text{trace}\{P'(k)\}}{\gamma_L} \leq \lambda_1 \end{cases}$$

... (28).

It is noted that  $\lambda_1$ ,  $\lambda_3$ ,  $\gamma_U$ , and  $\gamma_L$  are initial set values and  $0 < \lambda_1 < 1$  and  $0 < \lambda_3(k) < \infty$ .  $P(0)$  has a

5 sufficiently large initial value and has a sufficiently small initial value which is not zero.  $\text{trace}\{P\}$  means a matrix  $P$  trace. In this way, the derivation of the cell model to the adaptive digital filter has been described.

10 Fig. 7 shows an operational flowchart carrying out a microcomputer of battery controller 30. The routine shown in Fig. 7 is executed whenever a constant period of time  $T_0$  has passed. For example,  $I(k)$  means the present value and  $I(k-1)$  denotes one previous  
15 value as described in the first embodiment. In Fig. 7,

the contents of steps S10B through S40B are the same as those of steps S10A through S40A described in Fig. 6. Hence, the explanation thereof will herein be omitted. At a step S50B, the low pass filtering and 5 the band pass filtering are carried for current  $I(k)$  and terminal voltage difference value  $\Delta V(k)$  on the basis of the following equation (29) to calculate  $I_0(k)$  through  $I_3(k)$  and  $V_1(k)$  through  $V_3(k)$ .

[0045]

$$\left. \begin{aligned} I_0 &= \frac{1}{G_1(s)} \cdot I \\ I_1 &= \frac{s}{G_1(s)} \cdot I \quad V_1 = \frac{s}{G_1(s)} \cdot V \\ &\qquad\qquad\qquad \frac{1}{G_1(s)} = \frac{1}{(p_1 \cdot s + 1)^3} \\ I_2 &= \frac{s^2}{G_1(s)} \cdot I \quad V_2 = \frac{s^2}{G_1(s)} \cdot V \\ I_3 &= \frac{s^3}{G_1(s)} \cdot I \quad V_3 = \frac{s^3}{G_1(s)} \cdot V \end{aligned} \right]$$

... (29).

It is noted that, in this case, in order to improve an estimation accuracy of parameter estimation 15 algorithm of equation (28), the response characteristic of low pass filter  $G_1(s)$  is set to be slow so as to reduce the observed noises. However, if the response characteristic of low pass filter  $G_1(s)$  is faster than the response characteristic (an 20 approximate value of time constant  $T_1$  is already known) of the cell model, each parameter of the cell model cannot accurately be estimated.  $P_1$  in equation (29) is a constant determining response characteristic of  $G_1(s)$ .

[0046] At a step S60B, controller 30 substitutes  $I_0(k)$  through  $I_3(k)$  and  $V_1(k)$  through  $V_3(k)$  into equation (28). The calculation in accordance with equation (28) which is the parameter estimation algorithm is, then, calculated to determine parameter estimated value  $\theta(k)$ . It is noted that  $y(k)$ ,  $\omega^T(k)$ , and  $\theta(k)$  are given in the following equation (30).

[0047]

$$y(k) = V_1(k)$$

$$\omega^T(k) = [V_3(k) \quad V_2(k) \quad I_3(k) \quad I_2(k) \quad I_1(k) \quad I_0(k)]$$

10

$$\theta(k) = \begin{bmatrix} -a(k) \\ -b(k) \\ c(k) \\ d(k) \\ e(k) \\ f(k) \end{bmatrix}$$

...(30).

At a step S70B, the filtering processes of low pass filter and band pass filter are carried out on the basis of current  $I(k)$  and terminal voltage difference value  $\Delta V(k)$  on the basis of an equation (34) to calculate  $I_4(k)$  through  $I_6(k)$  and  $V_4(k)$  through  $V_6(k)$ . a through e from among parameter estimated values  $\theta(k)$  calculated at step S60B are substituted into equation (33) which is a deformation from equation (18) to calculate  $\Delta V_o$  which is used in place of the open-circuit voltage  $V_o$ . Since the variation in open-circuit voltage  $V_o$  is moderate,  $\Delta V_o$  can be substituted. It is noted that the derivation at step S70B is the variation quantity  $\Delta V_o(k)$  of the open-circuit voltage  $V_o(k)$  from a time at which the

estimation calculation is started. Therefore, the initial value is added at a later step S90B. It is noted that, at the derivation of equation (33), K in the equation (32) and e of the equation (33) are strictly different from each other. Physically,  $K \gg h \cdot T_1$ , e is approximated to K (e is about equal to K,  $e \approx K$ ). In addition, since the approximate value of  $T_1$  of the cell parameter is known as several seconds,  $t_1$  in equation (34) is set to a value near to the approximate value of  $T_1$ . Thus, since a term of  $(T_1 \cdot s + 1)$  which is rested on a numerator in equation (33) can be cancelled, the estimation accuracy of open-circuit voltage  $V_o$  can be improved.

$$15 \quad \frac{1}{T_3 \cdot s + 1} \cdot V_0 = V - \frac{K \cdot (T_2 \cdot s + 1)}{T_1 \cdot s + 1} \cdot I$$
$$(T_1 \cdot s + 1) \cdot V_0 = (T_1 \cdot s + 1)(T_3 \cdot s + 1) \cdot V - K \cdot (T_2 \cdot s + 1)(T_3 \cdot s + 1) \cdot I$$
$$(T_1 \cdot s + 1) \cdot V_0 = \{ (T_1 \cdot T_3 \cdot s^2 + (T_1 + T_3) \cdot s + 1) \cdot V - \{ K \cdot T_2 \cdot T_3 \cdot s^2 + K \cdot (T_2 + T_3) \cdot s + K \} \cdot I \} \dots (31).$$

$$20 \quad \frac{(T_1 \cdot s + 1)}{G_2(s)} \cdot V_0 = \frac{1}{G_2(s)} (a \cdot s^2 + b \cdot s + 1) \cdot V - \frac{1}{G_2(s)} (c \cdot s^2 + d \cdot s + K) \cdot I$$
$$\dots (32).$$

$$\Delta V_0 = \frac{(T_1 \cdot s + 1)}{G_2(s)} \cdot V_0 = a \cdot V_6 + b \cdot V_5 + V_4 - c \cdot I_6 - d \cdot I_5 - e \cdot I_4 \dots (33).$$

In equation (33),  $a = T_1 \cdot T_3$ ,  $b = T_1 + T_3$ ,  $c = K \cdot T_2 \cdot T_3$ ,  $d = K \cdot (T_2 + T_3)$ ,  $e = K + h \cdot T_1 \approx K$ .

$$\left. \begin{aligned} I_4 &= \frac{1}{G_2(s)} \cdot I, \quad V_4 = \frac{1}{G_2(s)} \cdot V, \\ I_5 &= \frac{s}{G_2(s)} \cdot I, \quad V_5 = \frac{s}{G_2(s)} \cdot V, \quad \frac{1}{G_2(s)} = \frac{1}{p_2 \cdot s + 1} \cdot \frac{1}{T_1 \cdot s + 1}, \\ I_6 &= \frac{s^2}{G_2(s)} \cdot I, \quad V_6 = \frac{s^2}{G_2(s)} \cdot V, \end{aligned} \right]$$

... (34).

When the calculated  $\Delta V_o(k)$  is substituted into an equation (35), estimated value  $\Delta V_o'(k)$  only at a right side second term of cell model (refer to equation (18)) is calculated.  $V_o(k)$  denotes an estimate value of the open-circuit voltage itself approximated by equation (18) and  $V_o'$  denotes an apparent estimated value of the open-circuit voltage appearing on the terminal voltage. It is, however, noted that, in the derivation of equation (35),  $T_3$  at the right side is strictly different from right side b. Physically, since  $T_3 \gg T_1$ ,  $b = T_3 + T_1 \approx T_3$ .

$$\Delta V'_o = \frac{1}{T_3 \cdot s + 1} \cdot \Delta V_o \approx \frac{1}{b \cdot s + 1} \cdot \Delta V_o \quad \dots (35).$$

Equation (35) corresponds to  $V_o/C(s)$ . That is to say,  $V_o = \Delta V_o$  and  $C(s) = T_3 \cdot s + 1 \approx b \cdot s + 1$ .

[0049] At a step S80B of Fig. 7, the open-circuit voltage initial value, namely, terminal voltage initial value  $V_{ini}$  is added to each of  $V_o(k)$  and  $V_o'(k)$  calculated at step S70B. That is to say, open-circuit voltage estimated value  $V_o(k)$  is calculated using the equation (36) and apparent open-circuit voltage estimated value  $V_o'(k)$  is calculated using the following equation (37). It is noted that estimated value  $V_o'$  is not the estimated value  $V_o'$  of open-circuit voltage  $V_o$  itself but the apparent open-

circuit voltage estimated value appearing on the terminal voltage.

[0050]  $V_o(k) = \Delta V_1(k) + V_{ini}$  ... (36).

$V_o'(k) = \Delta V_o'(k) + V_{ini}$  ... (37).

- 5 At a step S90B, battery controller 30 calculates charge rate SOC(k) from  $V_o(k)$  calculated at step S80B using the correlation map between the open-circuit voltage and charge rate shown in Fig. 3. It is noted that  $V_L$  shown in Fig. 3 is the open-circuit voltage corresponding to SOC = 0% and  $V_H$  is the open-circuit voltage corresponding to SOC = 100%. At a step S100B, battery controller 30 determines whether estimated value  $V_o(k)$  is equal to or larger than open-circuit voltage estimated value  $V_o'(k)$ . This determination at 10 step S100B functions to search either one of which is nearer to either maximum enabling voltage  $V_{max}$  or minimum enabling voltage  $V_{min}$ . It is noted that maximum enabling voltage  $V_{max}$  or minimum enabling voltage  $V_{min}$  is a variable determined by the kinds of 15 cells and temperature in the cell. The calculation method is the well known method so that they can be determined using the well known technique as described in the first embodiment. At step S100B, if  $V_o'(k) \geq V_o(k)$ , the routine goes to a step S110B. If 20  $V_o'(k) < V_o(k)$ , the routine goes to a step S120B. At a step S110B, battery controller 30 calculates input enabling power estimated value  $P_{in}$  and output enabling power estimated value  $P_{out}$ . In the cell model 25 (equation (18)), the cell model is expressed in equation (38) in a case where a transient characteristic is ignored and this means a quantitative cell model. To calculate input enabling power estimated value  $P_{in}$ , the current value to reach 30

to maximum enabling voltage  $V_{max}$  is needed. Hence, maximum input current  $I_{in\_max}$  is calculated using equation (38) in which the transient characteristic is ignored. That is to say, at step S110B, since  
5  $Vo'(k) \geq Vo(k)$ ,  $Vo'(k)$  is nearer to maximum enabling power  $V_{max}$  and  $Vo(k)$  is nearer to minimum enabling voltage  $V_{min}$ . Hence, in order to calculate input enabling power estimated value  $P_{in}$ , maximum enabling power voltage  $V_{max}$  is substituted for  $V$  of equation  
10 (38), estimated value  $e$  from among parameter estimated values  $\theta(k)$  calculated at step S60B is substituted for  $K$  of equation (38), and  $Vo'(k)$  calculated at step S80B is substituted for  $Vo$  of equation (38) so that maximum input current  $I_{in\_max}$  is  
15 calculated from equation (38) obtained from equation (39).  
15

[0050] 
$$V = K \cdot I + V_o \quad \dots (38).$$

$$V_{max} = e \cdot I_{in\_max} + V_o \quad \dots (39).$$

[0051] On the other hand, for output enabling power estimated value  $P_{out}$ , minimum enabling voltage  $V_{min}$  is substituted into  $V$ , one of parameter estimated values, viz.,  $e$  from among parameter estimated values  $\theta(k)$  calculated at step S60B is substituted into  $K$ , open-circuit voltage estimated value  $Vo(k)$  calculated at step S80B is substituted for  $Vo$  of equation (38). The obtained equation is an equation  
20 (40) to calculate maximum output current  $I_{out\_max}$ .  
25

$$V_{min} = e \cdot I_{out\_max} + V_o \quad \dots (40).$$

[0052] Next, using maximum input current  $I_{in\_max}$ ,  
30 maximum output current  $I_{out\_max}$  derived as described above, equations (41A and 41B) calculate an input

enabling power estimated value ( $P_{in}$ ) and an output enabling power estimated value ( $P_{out}$ ).

It is noted that, at the derivation of maximum input current  $I_{in\_max}$  and maximum output current  $I_{out\_max}$ , K in  
5 equation (38), e in equations (39) and (40) are strictly different from one another. However, since, physically,  $K \gg h \cdot T_1$ ,  $e = K + h \cdot T_1 \approx K$ .

$$\left. \begin{aligned} P_{in} &= I_{in\_max} \cdot V_{max} \\ &= \frac{V_{max} - V_o}{e} \cdot V_{max} \end{aligned} \right\} \dots(41A)$$

$$= \frac{V_{max} - \frac{V_o}{b \cdot s + 1}}{e} \cdot V_{max}$$

$$\left. \begin{aligned} P_{out} &= |I_{out\_max}| \cdot V_{min} \\ &= \frac{V_o - V_{min}}{e} \cdot V_{min} \end{aligned} \right\} \dots(41B)$$

10 [0053] At a step 120B, battery controller 30 calculates input enabling power estimated value  $P_{in}$  and output enabling power estimated value  $P_{out}$ . Since step S120B is the case where  $V_o'(k) < V_o(k)$ ,  $V_o(k)$  is nearer to maximum enabling voltage  $V_{max}$  and  $V_o'(k)$  is nearer to minimum enabling voltage  $V_{min}$ . Hence, in  
15 order to calculate input enabling power estimated value  $P_{in}$ , maximum enabling voltage  $V_{max}$ , estimated value  $e$  from among parameter estimated value  $\theta(k)$  calculated at step S60B using an equation (42)  
obtained by substituting  $V_o(k)$  calculated at step S80B into equation (38). Thus, an equation (43) is  
20

given. Maximum output enabling current  $I_{out\_max}$  is calculated using equation (43).

[0054]  $V_{max} = e \cdot I_{in\_max} + V_o$  ... (42).

$$V_{min} = e \cdot I_{out\_max} + V_o' \quad \dots (43).$$

5 Next, using maximum input current  $I_{in\_max}$  and maximum output current  $I_{out\_max}$ , input enabling power estimated value  $P_{in}$  and output enabling power estimated value  $P_{out}$  are calculated from equations (44A) and (44B) as will be described below.

10 [0055]

$$\begin{aligned} P_{in} &= I_{in\_max} \cdot V_{max} \\ &= \frac{V_{max} - V_o}{e} \cdot V_{max} \end{aligned} \quad \dots (44A).$$

$$\begin{aligned} P_{out} &= |I_{out\_max}| \cdot V_{min} \\ &= \frac{V_o' - V_{min}}{e} \cdot V_{min} \end{aligned} \quad \dots (44B).$$

$$= \frac{V_o}{b \cdot s + 1} - V_{min} \cdot V_{min}$$

At a step S130B, battery controller 30 stores numerical values needed for the next calculation and 15 the present calculation is ended. The second preferred embodiment of the estimating apparatus according to the present invention has been described.

[0056] Next, the action and advantages of the second embodiment of the estimating apparatus will be 20 described below. In the second embodiment, the relationship among current  $I$  of the secondary cell, terminal voltage  $V$ , and open-circuit voltage  $V_o$  is constituted to be approximated by means of the

transfer function such as equation (1) (specifically, equation (18)), it is possible to apply to the adaptive digital filter of the method of least squares. Consequently, it becomes possible to  
5 integrally estimate the parameters at one time (coefficients of poly-nominals of  $A(s)$ ,  $B(s)$ , and  $C(s)$ ). Since the estimated parameters are substituted into equation (1), the estimated value of open-circuit voltage  $V_o$  can easily be calculated.  
10 These unknown parameters are affected by a charge rate (SOC, viz., State Of Charge), an ambient temperature of secondary cell, and a degree of deterioration is varied instantaneously (continuously) with respect to time. However, the  
15 sequential estimation can be made with a high accuracy by means of the adaptive digital filter. Since input enabling power  $P_{in}$  and output enabling power  $P_{out}$  are estimated using the estimated coefficients (parameters) and the open-circuit  
20 voltage  $V_o$ . Hence, even if, together with the variation in cell parameters during the charge-and-discharge operation, the input and output enabling powers  $P_{in}$  and  $P_{out}$  are varied, the adaptive digital filter can accurately follow its variation so that  
25 the input and output enabling powers can accurately be estimated.

[0057] Fig. 9A through 9J integrally show a simulation result of the input output enabling power estimations based on the second embodiment. In Fig.  
30 9A through 9J, with a time of 500 seconds as a boundary, the cell parameters are varied from a low temperature corresponding value to a high temperature corresponding value in a stepwise manner. In the

case of the simulation, as far as a time constant of a first-order lag described in the cell model (equation (18) is concerned,  $T_1 \ll T_3$  is set. This is because, the cell having the very slow convergence 5 characteristic of open-circuit voltage  $V_o$  like the lead-acid battery is assumed and set.

[0058] As appreciated from Figs. 9A through 9J, parameter estimated values a through e that the adaptive digital filter outputs are coincident with 10 their real values even if the cell parameter given when the simulation is carried out is varied in the stepwise manner (substantially at a right angle). Hence, the open-circuit voltage estimated value are coincident with the real values. In the second 15 embodiment, input enabling power  $P_{in}$  is estimated using the estimated coefficient parameter, open-circuit voltage  $V_o$ , and maximum enabling voltage  $V_{max}$ . Hence, even if the cell parameter and open-circuit 20 voltage  $V_o$  are instantaneously varied with respect to time (or continuously varied with respect to time), the output enabling power estimated value can be coincident with the real value. It is noted that an attention needs to be paid to develop the first-order 25 lag of time constant  $T_3$  in an apparent appearance on the real value of the open-circuit voltage and the terminal voltage (refer to right side second term of equation (18) of the cell model).

[0059] In addition, in the input enabling power  $P_{in}$  of Fig. 9I, a characteristic of reference (a dot-and-dash line) shown in Fig. 9I indicates a value 30 calculated using the open-circuit voltage estimated value. As shown in Figs. 9A through 9J, the input enabling power estimated value (dot-and-dash line)

calculated using the open-circuit voltage estimated value is larger than the real value of the input enabling power. This is caused by the fact that the apparent open-circuit voltage is larger than the real 5 value of the open-circuit voltage  $V_o$  and is nearer to maximum enabling voltage  $V_{max}$ . In details, in a case where the input (charge) is carried out to the cell using the dot-and-dash lined input enabling power estimated value, there is a possibility that, in this 10 case, the input enabling power real value breaks through maximum enabling voltage  $V_{max}$  of the cell and the cell is deteriorated due to the overcharge. However, in the second embodiment, from the estimated coefficient parameters and open-circuit voltage  $V_o$ , 15 the apparent open-circuit voltage  $V_o/C(s)$  (corresponds to  $\Delta V_o'$  in equation (35)) is calculated. Using one of  $V_o$  and  $V_o/C(s)$  which is nearer to maximum enabling voltage  $V_{max}$ , the estimated 20 parameters, and maximum enabling voltage  $V_{max}$ , input enabling power  $P_{in}$  is estimated. Hence, in the case of Figs. 9A through 9J, the input enabling power estimated value (solid line) is calculated using apparent open-circuit voltage  $V_o/C(s)$  nearer to maximum enabling power estimated value  $V_{max}$  (solid 25 line). Thus, the input enabling power estimated value is sufficiently coincident with the real value thereof and there is no possibility that the input enabling power estimated value breaks through the maximum enabling power voltage of the cell.

30 [0060] On the other hand, in the column of output enabling power  $P_{out}$  in Fig. 9J, the characteristic described as the reference (dot-and-dash line) indicates a value calculated using the apparent open-

circuit voltage estimated value. As shown in Figs. 9J,  
the output enabling power estimated value (a dot-and-  
dash line) calculated using the apparent open-circuit  
voltage estimated value is larger than the real value  
5 of the output enabling power. This is caused by the  
fact that the open-circuit voltage estimated value is  
smaller than the apparent open-circuit voltage and is  
nearer to minimum enabling voltage  $V_{min}$ . In details,  
in a case where the cell is outputted (discharged)  
10 using the dot-and-dash lined output enabling power  
estimated value, this output enabling power estimated  
value breaks through minimum enabling voltage  $V_{min}$  so  
that there is a possibility that the cell is  
deteriorated due to an over-discharge. However, in  
15 the second embodiment, the apparent open-circuit  
voltage  $Vo/C(s)$  is calculated from the estimated  
coefficient parameters and open circuit enabling  
voltage  $V_{min}$ . Using one of  $Vo$  and  $Vo/C(s)$  which is  
nearer to minimum enabling voltage  $V_{min}$ , the estimated  
20 coefficient parameters, and minimum enabling voltage  
 $V_{min}$ , output enabling power  $P_{out}$  is estimated. Hence,  
in the case of Figs. 9A through 9J, the output  
enabling power estimated value (solid line) is  
calculated using the open-circuit voltage  $Vo$  which is  
25 nearer to minimum enabling power  $V_{min}$ . Hence, the  
estimated value of the output enabling power is  
sufficiently coincident with the real value and there  
is no possibility that the output enabling power  
estimated value breaks through minimum enabling  
30 voltage  $V_{min}$  of the cell. It is noted that the relay  
described at steps S20A and S20B shown in Figs. 6 and  
7 corresponds to relay 70 shown in Fig. 2.

[0061] The entire contents of a Japanese Patent Application No. 2003-054035 (filed in Japan on February 28, 2003) are herein incorporated by reference. The scope of the invention is defined with  
5 reference to the following claims.

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